# The Race to Trace

Security and Privacy of COVID-19 Contact Tracing Apps

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This initiative is made possible by the generous contributions of **Royal Bank of Canada**, which enable our team to independently investigate pressing public policy issues related to cybersecurity and digital privacy. We are committed to publishing objective findings and ensuring transparency by declaring the sponsors of our work.

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#### Contributors

Sam Andrey, Director of Policy & Research, Ryerson Leadership Lab Karim Bardeesy, Executive Director, Ryerson Leadership Lab Sumit Bhatia, Director of Communications and Knowledge Mobilization, Rogers Cybersecure Catalyst Zaynab Choudhry, Design Lead Charles Finlay, Executive Director, Rogers Cybersecure Catalyst Braelyn Guppy, Marketing and Communications Lead, Ryerson Leadership Lab Mohammed (Joe) Masoodi, Policy Analyst, Ryerson Leadership Lab Kate Pundyk, Policy and Research Assistant, Ryerson Leadership Lab Yvonne Su, Policy Lead, Ryerson Leadership Lab

# **Executive Summary**

As governments around the world scramble to control the spread of COVID-19, leaders and policy-makers are urgently considering new technologies that might help. Chief among these technologies are **contact tracing apps** — mobile device applications that track the proximity of other mobile devices and alert users if they have come close to someone infected with COVID-19. Proponents of these apps argue they can increase the volume, accuracy and reach of manual contact tracing, provided that enough of the population uses the app.

Though a contact tracing app has yet to be deployed nation-wide, many Canadians seem ready to embrace this technology. A **survey of 2,000 Canadians** from mid-May 2020 finds that:

- Majorities of Canadians support making contact tracing apps mandatory for the use of public services, like public transit (55%) and in workplaces (51%), though in both cases only one in four strongly support such an approach.
- Support is somewhat lower **(46%)** for retail or grocery stores making apps mandatory.
- In contrast, opposition to landlords or condominiums making contact tracing apps mandatory (45%) surpassed support (30%).

But there are critical considerations that need to be addressed to make certain this technology is deployed in a manner that protects the security and privacy of Canadians. While there will be security and privacy vulnerabilities with any contact tracing app, Canadian governments and institutions should ensure that any app mitigates these risks to the greatest extent possible by:



(1) Following privacy-by-design principles and using only **Bluetooth technology**, not location data;

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(2) Using a decentralized approach by
keeping contact data on Canadians' individual devices;

- (3) Only collecting, storing and using data that is necessary, including deleting data after no more than 30 days, limiting data use to public health uses only, and deleting the app after the pandemic is adequately contained;
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(4) Ensuring the app is used on a
voluntary basis only, and passing
legislation to ensure that no public
or private entities can make the app
mandatory to access goods, services,
employment or housing, especially
considering one in four low-income
Canadian households do not have a
smartphone; and

(5) Being **transparent** and maintaining **trust**, in part through transparent procurement, publicly available source code, comprehensive independent reviews and ongoing oversight. A review in May 2020 of contact tracing apps in other jurisdictions indicated that no jurisdiction had yet to fully satisfy all these conditions, and should they choose to proceed, Canadian governments had the chance to lead and ensure **the highest standards of privacy and security.** 

Since then, Canada deployed its COVID Alert app, which meets our five recommended criteria. We join Canada's privacy authorities in saying that Canadians can opt to use this technology with confidence in its privacy and security protections. We continue to urge governments to pass legislation to ensure that no institution requires its use.

Canada must pay particular attention to maintaining the trust of the public through ongoing oversight of the contact tracing app's efficacy alongside parallel manual contact tracing, particularly given other jurisdictions' experiences, where negative risks to cybersecurity and digital privacy have outweighed apparent benefits to public health.

App-enabled contact tracing is only desirable if it feeds into a strong, peoplepowered public health tracing, testing and treatment system. It should not be mandatory, but a well-governed regime, guided by these five principles, may support the fight against COVID-19.

#### **Intent of this Report**

Contact tracing is one of the most discussed and misunderstood policy issues as we grapple with COVID-19.

In this report, the first through the Cybersecure Policy Exchange, we explain what contact tracing is, its context, share our most up-to-date understanding of the issue, and reveal Canadians' attitudes toward the use of the technology. We give policy recommendations to help ensure that contact tracing is done responsibly, and in a way that builds public trust.

This report is in dialogue with work by policy-makers, technologists, academics and think tanks in Canada and internationally, and we look forward to informing and evolving the work with this community.

# What is Contact Tracing?

Contact tracing is a process used by public health officials to identify individuals who have had close contact with someone who has an infectious disease; inform those individuals of their potential infection; and help prevent their infection of others. Contact tracing is used as a primary means of infectious disease control and has been used in past outbreaks, including severe acute respiratory syndrome (SARS), foot-and-mouth-disease, smallpox and avian influenza.<sup>1</sup>

Contact tracing has been used frequently in previous public health crises in Canada. In fact, contact tracing is required by law in most Canadian provinces in the case of HIV diagnoses.<sup>2</sup> Canadian public health officials have also used contact tracing in previous 21<sup>st</sup> century coronaviruses, with SARS (2003) and Middle East respiratory syndrome (2012).<sup>3,4</sup>

Contact tracing is typically conducted manually by public health staff.<sup>5</sup> Once an individual is confirmed as infected with a virus, they are interviewed about their past activities and the people they have come into contact with since they were infected. Efforts are then made to identify these potentially infected individuals to provide them with information, including how to prevent the disease and any other actions that they should take. This may include quarantine or isolation for high-risk contacts. Regular follow-ups are conducted with these potentially infected individuals to monitor symptoms and test for signs of infection.<sup>6</sup>

#### **Contact Tracing During COVID-19**

To control and further mitigate the spread of COVID-19, governments around the world have mainly relied on traditional public health measures such as: encouraging increased personal hygiene and social distancing; banning social gatherings; limiting travel; promoting and enforcing selfisolation; and increasing testing for the virus.<sup>7</sup>

Existing and new digital technologies capable of real-time monitoring at the individual and aggregate level have been proposed in tandem with traditional public health measures, followed by claims that they will improve their effectiveness.<sup>89</sup>

These technologies have included contact tracing using cell/smartphones or other mobile devices, such as wearables. The commonly-cited rationale behind mobile contact tracing is to increase the volume, accuracy and reach of manual contact tracing, which can be labour-intensive and relies on both an infected person's memory and the ability to identify and reach contacts who the infected person does not know personally, such as contacts from public transit or retail stores.<sup>10</sup>

Supplementing manual contact tracing with digital technology is not new. Reports as early as 2000 reveal public health authorities in California accessing the social networking sites of patients who were positively diagnosed with a sexually transmitted infection in order to notify their sexual partners who lacked contact information.<sup>11,12</sup> In the current COVID-19 pandemic, provinces are asking restaurants to collect and store the contact information of its customers, as well as using credit card and loyalty program data, to facilitate contact tracing.<sup>13,14</sup> However, mobile contact tracing that automatically tracks and records every interaction is a new approach that, prior to COVID-19, had yet to be tested or evaluated.

## How Contact Tracing Apps Work

Contact tracing applications (apps) work by calculating the proximity of phones or other mobile devices, automatically tracing sustained intersections of individuals, including those who later test positive for COVID-19.<sup>15</sup>

Although there are a number of ways to calculate the proximity of mobile devices, many governments and organizations around the world have rolled out contact tracing apps that rely on Bluetooth technology and/or cellphone location data to do so.<sup>16</sup>

The contact tracing apps locate and keep a record of contact when a device that is running the same app gets close to another for a defined period of time. The apps then typically can alert or notify users if they have come close to someone who has a confirmed or presumed case of COVID-19.

#### **Other COVID-19 Mobile Technologies**

Contact tracing apps have features that make them distinct from other mobile technologies that have been developed or proposed to assist with the spread of COVID-19, including:

**Self-Diagnosis:** These apps ask users a series of questions related to the most common COVID-19 symptoms. Those answers evaluate the user's risk of having contracted COVID-19 and provide recommendations to self-isolate or get tested. This functionality has also been included in some contact tracing apps, such as the UK's NHS app.<sup>17</sup>

Aggregated Data Analytics: This approach uses the data collected from mobile devices' location data in aggregate to understand movement patterns and how they have changed over time, to assess the effectiveness of public health interventions. Hot spots can also be tracked to inform people to avoid certain areas due to apparent clusters of infections.<sup>18</sup> Some contact tracing apps also map hot spots, including for example the contact tracing app developed by the Quebec-based Mila.<sup>19</sup>

#### Quarantine Enforcement: Some

governments and private institutions have used mobile devices' location data to monitor compliance with imposed isolation or quarantine by requiring people to install an app, for instance in China and South Korea.<sup>20,21</sup>

## Manual Contact Tracing



Person confirmed positive for COVID-19



Public health provides guidance on next steps (e.g., self-isolation)



Public health interviews person on recent activities and contacts



Public health identifies and reaches out to contacts with guidance



Regular follow-ups are conducted with contacts to monitor symptoms

## App-Enabled Contact Tracing



Person 1 and Person 2 come within two metres for 15 minutes



Their apps exchange an anonymous key to log their interaction



Person 1 becomes COVID-19 positive



Person 1 enters they are COVID-19 positive into the app, triggering an alert to all phones Person 1 has exchanged keys with



Person 2's phone receives an alert, notifying them and to contact public health



## Security And Privacy Considerations for Contact Tracing Apps

As with any digital technology, the security and privacy of its users must be protected. The stakes are greater for digital technology endorsed and encouraged by governments and institutions, and even more so when that encouragement is under the premise of protecting people's health.

When building contact tracing apps, it is important to consider what potential harms and vulnerabilities users could be exposed to. The most top-of-mind issue is the potential for a data breach of personal information, particularly considering the elevated level of cybercrime targeting health organizations during the pandemic.<sup>22</sup>

A positive COVID-19 diagnosis can result in social stigma and harassment, particularly for those from otherwise vulnerable groups.<sup>23</sup>

Beyond the individual risk, businesses and organizations risk reputational and financial damage as a result of being identified as a place where individuals became infected. For these reasons, contact tracing apps need to be built to minimize such harms, by making privacy and security central to their design.

The cybersecurity and digital privacy concerns with contact tracing apps can be broadly grouped into five categories:

- 1. Technology Design
- 2. Data Governance
- 3. Data Minimization and Retention
- 4. Voluntary Use
- 5. Transparency and Trust

Given the risks of deploying new technology of this nature, governments and public health authorities need to publicly address each of these concerns in the development, deployment and ongoing use of this technology.



Contact tracing apps have primarily been designed to calculate the proximity of mobile devices using:

- Bluetooth technology;
- location data; or
- a combination of these features.

Apps that rely on location data, such as satellite-based GPS and/or triangulation of cell towers, have been subject to significant criticism, as the technology can be used to expose sensitive and personally-identifiable information, such as users' home addresses, workplaces and routines.<sup>24</sup> Although developers have proposed apps that anonymize users' data, past research has shown that it is possible to reverse engineer anonymized datasets to reveal individual identities through a process of combining other data sources.<sup>25, 26, 27</sup>

The use of individual location data by contact tracing apps increases risks for users in the event of a cyberattack or data leak.<sup>28</sup> It is worth noting that awareness of the importance of limiting mobile location data collection is rising, with opt-in rates falling significantly last year.<sup>29</sup> Furthermore, research suggests that location data is unlikely to be precise enough to track close and sustained contact, and to meaningfully predict the risk of COVID-19 transmission.<sup>30</sup>

Bluetooth, on the other hand, does not calculate location but rather communicates directly with other devices using signals through standards-based technology, making it less likely for apps using this technology to reveal sensitive and personal information of users often tied to location data.<sup>31</sup> Bluetooth technology can also achieve significantly more accurate distance measurements (usually within a range that is less than 100 feet), though the accuracy of Bluetooth signals can still degrade amid high levels of signal interference, which can occur in high-density buildings or streets. In addition, no technology design can prevent some false positives in the COVID-19 context, such as picking up sustained close contact through walls or cars, or not taking into consideration the use of masks.<sup>32</sup>

Bluetooth-based apps, however, are not without cybersecurity and privacy risks. Because Bluetooth signals are broadcast openly, security experts warn about potential for wrongful surveillance of users' devices.<sup>33</sup> There is a risk of bad actors actively monitoring and intercepting the signals of app users to identify those who are COVID-19-positive. It is then possible to reveal individual identities, for example on social media, to 'name and shame' individuals.<sup>34</sup>

Bluetooth technology is also vulnerable to spoofing and duping.<sup>36</sup> In such cases, threat actors intercept the signals for the purpose of either omitting or falsifying data. For instance, someone could capture a user's signals and broadcast them to another location, making the user appear to be in two different places at once.<sup>36</sup> Researchers have also found ways to intercept Bluetooth signals and either block or send bogus notifications, including false alerts telling users they have been in contact with an infected person.<sup>37</sup>

Recommendation: While there are still security and privacy risks, contact tracing apps should follow privacy-by-design principles using **Bluetooth technology only**, as locationbased apps pose significantly greater risk for personal identification.



There are two data governance approaches to contact tracing apps, and governments are split on which model to adopt.<sup>38</sup>

Under the first centralized model, collected anonymized data on users' interactions is regularly uploaded to a remote server. The server analyzes the data and determines which devices interacted with a COVID-19-positive case and should be contacted.<sup>39</sup> Depending on the design, the server may also be able to tie that data to individual identities,<sup>40</sup> as is the case with the national contact tracing apps in Australia,<sup>41</sup> Norway<sup>42</sup> and Singapore,<sup>43</sup> which require users to share their phone numbers.

The centralized model has been favoured by some governments and public health authorities (e.g., France and the UK) who argue that the approach provides more control to the authority in question over notification and follow-up with contacts, as well as additional insight into the spread of the virus and how well the app is performing.<sup>44</sup>

Security and privacy experts have raised

concerns over the centralized model, arguing that it expands government access to intimate details about users, including their relationships and links with others, potentially leading to future misuse and abuse.<sup>45, 46</sup> Under this model, the central government authority is entrusted to properly handle and secure device-linked personal data, including keeping the data inside the country and safe from cyberattacks.<sup>47</sup>

By contrast, a decentralized model gives users more control over their contact data by keeping it on their mobile device. In this model, the mobile device downloads information on only those individuals who have been identified as COVID-19-positive and processes whether the users, represented by unique codes, have interacted in the past. If the mobile device finds a unique code that matches any codes that are periodically downloaded from the server, the user receives a notification through the app with further instructions. Thus, while the model still uses a server, that server has no access to contact interactions and is not responsible for processing or informing clients of contact. Among the supporters of this approach is the privacy-focused consortium of European academics, DP-3T, who argue for contact tracing apps to follow a decentralized approach.48

Mostly notably, Apple and Google – which together run operating systems on 99% of Canada's smartphones<sup>49</sup> – have also favoured a decentralized approach in jointly developing an application programming interface (API) for approved apps run by government health agencies (which it calls 'exposure notification' rather than contact tracing), allowing for improved Bluetooth interoperability between their operating systems.

In addition to using Bluetooth technology only, the current Apple/Google-proposed design does not upload any data from users who are not diagnosed with an infection and also does not collect specific location data from users.<sup>50</sup> It is worth noting that experts observe the proposed design may still collect some general location data in order for users to have periodic downloads of infected users filtered to their region, rather than downloading the entire world's database of positive cases.<sup>51, 52</sup>

Some countries that developed apps earlier on — relying on a centralized model — have chosen or are considering switching to a decentralized model, which may be due to an awareness of their increased security and privacy vulnerabilities,<sup>53</sup> as well as technical issues involving interoperability on some models of the iPhone and battery consumption.<sup>54</sup>

Recommendation: Contact tracing apps should use a **decentralized approach** to reduce the security and privacy risks created by a central database.

# Data Minimization & Retention

A number of important considerations for security and privacy have been raised with respect to how contact tracing apps collect, store and retain data. Any data collection and retention beyond what is necessary, whether by governments, private institutions or individual devices, adds additional vulnerabilities for misuse and cybercrime.

First, the data collected should be minimized to what is necessary to carry out effective contact tracing. Anonymous data stored in a server from users with a positive diagnosis, for example, could still include IP addresses or other metadata that can allow for personal or location identification. For instance, while the Apple/Google project indicates that IP addresses should not be stored, it is up to app developers to follow this policy.<sup>55</sup>

Second, the data should only be retained for as long as it is epidemiologically useful for contact tracing. That means data on devices should only be stored for the period of time that COVID-19 can be contagious, in addition to a feasible window to allow for testing and notification. Our understanding is that deleting data after no more than 30 days would be appropriate, based on the current evidence on COVID-19 transmission. Any data stored on servers, such as positive diagnoses, should be deleted after its use for contact tracing. While time of contact is a data variable that should be stored to allow for effective data deletion, the app should also not provide an exact time that users came into contact with a positive case, to reduce the risks of personal identification.

The app itself and all linked data should also be removed and permanently deleted when the COVID-19 pandemic has been adequately contained. Governments and public health authorities should provide a clear and public explanation of how this sunset point will be determined, including for example its connection to rates of community spread and/ or vaccine deployment. If implementation is successful, it could be tempting for public health authorities to keep the app in place for future pandemic outbreaks or other diseases. A clear sunset methodology ahead of deployment, which could include users having to periodically renew their informed consent, will help to keep decision-makers accountable.

Third, the use of the data should be limited to the purpose for which it was collected. Concerns have been raised about the risk of function creep and increased state surveillance.<sup>56, 57, 58</sup> Function creep is the use of technology beyond that for which it was originally intended. An example of function creep for contact tracing is the collected data being used by other agencies, including law enforcement. Given that Ontario already embraced sharing the personal information of COVID-19-positive cases with police, this is not a speculative concern.<sup>59</sup> If there are data-sharing agreements with other entities, governments must be transparent about their existence, rationale and conditions.

Recommendation: Contact tracing apps should only collect, store and use data that is necessary, including built-in functionality to automatically and permanently delete mobile data after no more than 30 days. The apps and their data should be limited to public health uses only, with a clear expiration date that ensures all data are permanently deleted when the pandemic is adequately contained.

# Voluntary Use

Most Western countries, including Canada, have proposed that the use of contact tracing apps be voluntary, fully "opt-in" (meaning that residents must choose to use the apps) and require the informed consent of users. Other countries, including China and India, have made downloading contact tracing apps mandatory for their citizens.<sup>60,61</sup>

Despite Canada's assurances that these apps will be voluntary, there is potential for coercive approaches that challenge informed, voluntary consent. Some governments, businesses and organizations are considering requiring the use of a contact tracing app for individuals to gain entry to specific locations or to allow employees to come to work.<sup>62</sup>

Singapore, for example, now requires check-ins at all workplaces, educational and health care institutions, shopping malls, grocery stores and hairdressers.<sup>63</sup> When asked, the UK's National Health Service did not rule out the potential for data from its contact tracing app being shared with employers.<sup>64</sup> A recent survey of U.S. businesses found nearly a quarter planned to evaluate contact tracing technology as part of their office reopening strategy.<sup>65</sup>

We conducted a representative survey of Canadians in mid-May 2020 to gauge their views on different organizations making contact tracing apps mandatory. We found support for such an approach varied significantly, depending on the organization in question:

- Majorities of Canadians supported making contact tracing apps mandatory for the use of public services, like public transit (55%) and in workplaces (51%), though in both cases only one in four Canadians strongly supported such an approach.
- Support was somewhat lower (46%) for retail or grocery stores making apps mandatory.
- In contrast, opposition to landlords or condominiums making contact tracing apps mandatory (45%) surpassed support (30%).
- Between 19% and 25% of Canadians neither supported nor opposed the different scenarios, representing a significant lack of certainty about this approach.

• Support and opposition for mandatory apps were remarkably consistent across regions, age groups, education levels and gender (see Table 1). There was less consistent support across income, which we explore more below.

Requiring access to a mobile device for mobility, employment, education, services or housing has high potential to reinforce and exacerbate existing inequalities. Implicit coercion to use the app, particularly by those in a position of power such as an employer or landlord, will result in unequal treatment and negative consequences for those who cannot, which will likely be already disadvantaged groups.

## **Support for Organizations Making Contact Tracing Apps Mandatory**

A smartphone app has been proposed that would anonymously notify you if you have been physically close to someone who has been diagnosed with COVID-19. To what extent would you support the following organizations making it mandatory to download this smartphone app:



According to Statistics Canada's Survey of Household Spending, 73% of households in the lowest income quintile had a mobile phone in 2017, compared to 89% overall and 97% in the highest income quintile.<sup>66,67</sup> Our survey found this had not changed significantly in 2020, with 74% of households with incomes under \$20,000 indicating they own a smartphone, compared to 91% overall and 97% of households with incomes above \$100,000. Significant gaps were also observed by age, with 80% of those aged 60+ having a smartphone, compared to 95% of those aged under 60 (see Table 2). Moreover, access to a device does not guarantee it is connected to a data plan or home internet to make a contact tracing app operable.68

## Smartphone in Household



The level of public support for making contact tracing apps mandatory underlines why government action is needed to prevent discriminatory outcomes. In fact, support for mandatory contact tracing apps drops among low-income Canadians, with average support for the four scenarios at 38% for those with household incomes under \$30,000, compared to 48% average support for household incomes above that threshold (see Table 1).

For low-income households without a device, or where one device is shared among multiple members of the household, there are currently limited options available to acquire a mobile device to participate equitably in contact tracing. Programs established in response to COVID-19 to loan or provide mobile devices to those in need have, to date, been targeted at school-aged children. There are calls to offer a similar program to workers and small businesses.<sup>69</sup> For a regime of contact tracing using apps (with appropriate safeguards) to be taken up widely, such programs will indeed need to be made much more widely available.

Other jurisdictions have already made advancements to prevent such a need. American legislators, for instance, have drafted a bill aimed at regulating contact tracing apps, including making it illegal for any person or entity to discriminate against or make unavailable goods, services and accommodations to individuals choosing not to use an app. Australia passed legislation in May 2020, making it illegal to require individuals to download their contact tracing app in order to enter a premises, or to receive or provide any goods or services. The legislation also bans any person outside of public health authorities from collecting, using or disclosing data from their contact tracing app.<sup>70</sup> A group of academics from the University of Newcastle has drafted a similar bill that would ensure no one in the UK is penalized for not having a phone or other device, including leaving the house without it or failing to charge it.<sup>71</sup>

Recommendation: Contact tracing apps should be voluntary, fully opt-in and require informed consent. The federal, provincial and territorial governments should pass legislation to ensure public and private entities cannot make it mandatory to have access to the app in order to access goods, services, employment or housing.

# **Q** Transparency & Trust

Developing mobile applications for contact tracing purposes is new, and it remains unclear whether the technologies will prove effective against the spread of COVID-19. Those jurisdictions that have deployed contact tracing apps to date have not reported them being particularly helpful, and what success they do have appears to depend in large part on the availability and timeliness of testing capacity and continued investment in parallel manual contact tracing.<sup>72,73,74</sup>

Before proceeding, governments should transparently and comprehensively assess all issues and risks with contact tracing apps — including legal, technical and practical concerns — as well as broader societal issues of equity, and the immediate and long-term impacts of these apps on civil liberties.<sup>75, 76, 77, 78</sup> While recognizing the need for urgent action to protect public health, this is not a time for privacy and security considerations to be setaside — not least because maintaining the trust of the population is critical to successful adoption of this technology.

To be most effective against the spread of COVID-19, reports suggest that close to 60% of a population need to use the contact tracing app.<sup>79</sup> No jurisdiction that has released an app, with a voluntary adoption policy, has seen a download rate approaching this level. For context, the most downloaded app in the U.S., Facebook, has an adoption rate of 69%.<sup>80</sup> If governments plan to proceed with a contact tracing app deployment, they should provide a clear and unambiguous explanation for how the apps will be implemented and operate, including how misuse and discrimination will be prevented and privacy rights will be minimally impaired on an ongoing basis.<sup>81</sup> This should include full transparency to the public in how the apps are procured, evaluated, developed and maintained on an ongoing basis, including the role of third party technology providers.

Independent assessments of the apps by both privacy commissioners and cybersecurity experts, as well as releasing open source code, can play an important role in identifying potential flaws and should be considered before governments or institutions deploy apps. Federal, provincial and territorial privacy commissioners issued a joint statement outlining considerations to be addressed during app development.<sup>82</sup> The National Cybersecurity Consortium and universities from across Canada have also released a detailed set of guidelines to reference for these reviews.<sup>83</sup>

Independent reviews, as well as clear and robust complaints mechanisms and ongoing and transparent review of efficacy and effectiveness, can enhance trust and acceptance by the population. With new and untested technology of this nature, it is critical that an ongoing mechanism is available to identify and mitigate issues as they arise, including legal mechanisms for enforcement in instances of non-compliance. Regardless of the technical design of contact tracing apps, the consensus is that security and privacy risks will exist regardless of scenario.<sup>84, 85</sup> As experts begin to converge around Bluetooth-based decentralized models of contact tracing apps, supported by likes of Apple/Google and DP-3T, it needs to be understood that, despite their privacyfocused framework, these are not perfect systems without security and privacy risks to continuously prevent, mitigate and monitor.

As an example, depending on the design of the app, individuals could use contact tracing apps to intentionally generate false positives of COVID-19 infection, particularly since there is no verification of user identity.<sup>86</sup> This would undoubtedly result in lost trust in the app, and individuals potentially ignoring genuine notifications. One possible safeguard to prevent this includes designing the app such that only registered health care providers or public health officials can verify positive diagnoses.<sup>87</sup>

Systems of contact tracing may roll out by province, potentially guided by a pan-Canadian framework. The principles in this section should form the basis of a more lasting framework of technological co-operation. Federal, provincial, municipal and Indigenous governments, informed by regulators, public health and technology policy advice, should institutionalize and codify the practices of a well-regulated contact tracing regime. A standing secretariat that sits at the intersection of technology and public health could help oversee this regime. It could also help coordinate ongoing research, so that app-driven contact tracing regimes, and other matters related to the intersection of technology and public health, can be further improved.

Recommendation: Contact tracing apps should be transparent through a transparent procurement process, publicly available code and comprehensive independent review, as well as maintain trust of the population, including through medical verification of positive diagnoses and ongoing oversight and review of the app and its efficacy. Transparent procurement, review and oversight should ultimately be co-ordinated by a standing secretariat dedicated to developing learnings around app-based contact tracing, and related issues at the intersection of technology and public health.

## **Review Of Active Contact Tracing Apps**

To date, there have been innumerable contact tracing apps proposed or developed around the world, though many of these are without the backing of government or public health.<sup>88,89</sup> A reported 22 jurisdictions are now choosing to adapt to, or plan to introduce, apps using Apple/Google's API, including Canada.<sup>90,91,92</sup> Switzerland is now testing the first app built using the API as part of the DP-3T project.

This is a rapidly changing landscape — but as of the end of May 2020, 29 apps have been introduced that are backed by national governments and are already or soon-to-be used by the public.<sup>93</sup> We have performed a review of some of these apps, in addition to Canada's only active app in Alberta, to illustrate our five recommendations for security and privacy.



Notes: This scorecard is based on a review of publicly available information as of the end of May 2020, with inspiration from the MIT Technology Review's Covid Tracing Tracker. The methodology for receiving a passing assessment for each category is as follows:

- 1. Technology Design: The app uses only Bluetooth technology and does not track location data.
- 2. Data Governance: The app uses a decentralized approach, keeping contact interactions on the device.
- 3. Data Minimization and Retention: The app only collects, stores and uses data that is necessary, including built-in functionality to automatically and permanently delete mobile data after no more than 30 days, limiting its use to only public health, with a clear expiration date ensuring all data is permanently deleted after the pandemic is adequately contained.
- 4. Voluntary: Government has not made use of the app mandatory by all residents/citizens.
- 5. Transparency and Trust: At least three of the following are in place: an independent security or privacy impact assessment; open source code; positive diagnoses are verified by a health authority; and process in place for ongoing oversight/monitoring.

# Conclusion

Since COVID-19 has spread across the world, governments and institutions are increasingly looking at proposed technology to help mitigate its impact. Technologies capable of real-time mass monitoring at the individual and aggregate level are gaining traction, accompanied by arguments that they will improve the effectiveness of traditional public health measures against this unprecedented challenge.

Though a contact tracing app has yet to be deployed nation-wide, federal and provincial governments have expressed interest and intent in doing so, with Alberta already having implemented its own app. There are a number of critical security and privacy risks and considerations to this type of technology, and we stress that these must be addressed in a transparent manner before it is implemented - not least because public trust in the app is critical to sufficient take-up for the technology to provide meaningful assistance to public health efforts. In fact, the effectiveness of this technology to control the spread of COVID-19 remains unclear, with several jurisdictions claiming no positive effect.

It should be understood that, even with the most privacy and security-focused approach, contact tracing apps are not perfect systems, and that vulnerabilities and risks will be intrinsically a part of any networked technology. That said, our recommendations are meant to mitigate these risks to the extent possible, including:

- While there are still security and privacy risks, contact tracing apps should follow privacy-by-design principles using **Bluetooth technology only,** as location-based apps pose significantly greater risks for personal identification.
- Contact tracing apps should use a decentralized approach to reduce the security and privacy risks created by a central database.
- 3. Contact tracing apps should only collect, store and use data that is necessary, including built-in functionality to automatically and permanently delete mobile data after no more than 30 days. The apps and their data should be limited to public health uses only, with a clear expiration date that ensures all data are permanently deleted when the pandemic is adequately contained.
- 4. Contact tracing apps should be voluntary, fully opt-in and require informed consent. The federal, provincial and territorial governments should pass legislation to ensure public and private entities cannot make it mandatory to have the app in order to access goods, services, employment or housing.
- 5. Contact tracing apps should be transparent through a transparent procurement process, publicly available source code and comprehensive independent review, as well as maintain trust of the population, including through medical verification of positive diagnoses and ongoing oversight and review of the app and its efficacy. Transparent

procurement, review and oversight should ultimately be co-ordinated by a standing secretariat dedicated to developing learnings around app-based contact tracing, and related issues at the intersection of technology and public health.

As our review indicates, no national app has yet to fully satisfy all of these conditions; and should they choose to proceed, Canadian governments have an opportunity to lead by example in this regard, in deploying the highest standards of privacy and security. Canada, though, must pay particular attention to the last recommendation and maintain the trust of the public through ongoing review of the contact tracing app's efficacy alongside parallel manual contact tracing, particularly given other jurisdictions' experience, where negative risks to security and privacy have outweighed apparent benefits.

It is presumed that app-enabled contact tracing will work best in support of public health objectives if it is implemented at scale. There are ample civil liberties, equity and public policy reasons to reject a mandatory regime required to get scale. That said, a wellgoverned technology with some coverage, and feeding into a strong manual tracing, testing, and health system, may still be better than manual tracing alone.

Hand-washing, mask-wearing, physical distancing — these are some of the tactics that are recommended by public health officials to governments, institutions, and people and their families to help contain the pandemic. To that, public health could add a mobile technology solution: app-enabled contact tracing.

If it is well-designed and governed, it may be one more enabler of the strategy. In an age where technology platforms can help amplify mistrust and division, successfully building a regime with these robust protections may help prove out a larger point: that well-designed and -governed technology, developed and used transparently and responsibly in the public interest, can build trust, and protect our democracy.



# Addendum September 2020 Update

The release of our June 2020 report 'The Race to Trace: Security and Privacy of Covid-19 Contact Tracing Apps' explored the social, political and technical implications of contact tracing apps, particularly focusing on security and privacy issues. As governments around the world were beginning to adopt app-based technologies as potential measures to help control and mitigate the spread of COVID-19 in hopes of reopening economies and 'returning to normal, our team considered the likelihood that such an approach would also gain traction in Canada as well. About one month before the release of the report, Alberta became the first province in Canada to deploy its own contact tracing app.

Many raised concerns over the use of mobile devices repurposed for contact tracing, mobility tracking and quarantine enforcement in other jurisdictions. Apps developed in western democracies had shortcomings with respect to privacy, surveillance, cybersecurity and efficacy.<sup>94</sup> Cybersecure Policy Exchange joined other experts in recommending approaches to mitigating these risks. We also warned against framing these apps as the main or sole solution to the pandemic.<sup>95</sup> A consensus emerged that the most secure and least privacy-infringing app would be an app that was transparent, voluntary and used a privacy-by-design decentralized approach. Such an approach would rely on Bluetooth to exchange frequently-changing cryptographic keys between proximate phones that have endured a sustained connection, rather than privacy-compromising location data.

Since the release of our report, which offered five critical recommendations for governments to mitigate security and privacy risks should they proceed to develop and deploy contact tracing apps, a number of developments have emerged in the jurisdictions that we evaluated. The purpose of this addendum is to reflect on these latest national and international changes and by way, provide an update to our initial report.



First and most notably, since the release of our report, Canada's federal government introduced the COVID Alert app on July 31, 2020, referred to as 'exposure notification' rather than contact tracing. Prior to its launch, it was unknown whether other provinces would follow Alberta's lead in developing their own apps, or if the federal government would create a single national app. Members of our team wrote an op-ed in the Toronto Star and engaged with government decision-makers to advance our report's recommendations, suggesting that the Canadian government could set the standard by meeting what we saw as critical security, privacy and trust requirements.

### According to our team's assessment, Canada's COVID Alert app have met the minimum requirements that we laid out:



**Technology Design:** The app follows privacy-by-design principles using only Bluetooth technology, not location data.

Data Governance: The app uses a decentralized approach by keeping contact data on Canadians' individual devices.

Data Minimization & Retention: The app only collects, stores and uses data that is necessary, including deleting contact data after 14 days, limiting data to public health uses only, and making a public commitment that the app will be shut down within 30 days of a declaration by the Chief Public Health Officer of Canada that the pandemic is over.



**Voluntary Use:** The app is voluntary, fully opt-in and requires informed consent.



Transparency and Trust: The app was developed through a transparent process, has publicly available code,<sup>96</sup> includes medical verification of positive diagnoses, has undergone independent review and has a robust mechanism for ongoing oversight.

Adopting the Apple-Google API, Canada's national app was developed through a partnership between the federal government and the Province of Ontario with volunteers from Shopify and Blackberry providing security consultation. The COVID Alert app is so far only operational in Ontario. While the federal government has expressed hope to expand across all of Canada – initially stating that uptake may start with the Atlantic provinces -Ouebec has since announced that it will not adopt the app arguing that the pandemic is under control, though it is open to using one in the future.<sup>97</sup> As of the latest available update on August 16, 2020, two million Canadians, or about 5% of the total population, had downloaded the app.<sup>98</sup>

The app has received praise from privacy experts for having strong privacy protections, outlined clearly and transparently. Health Canada and the Canadian Digital Service published their own privacy assessment of the app.<sup>99</sup> The federal and Ontario privacy commissioners also conducted their own assessments of the app and concluded that "Canadians can opt to use this technology knowing it includes very significant privacy protections." IP addresses of those who download or upload keys are stored for up to three months, or two years in the event of an investigation, to monitor for security threats; however, the addresses are encrypted and stored separately from the keys, which mitigates risk. An advisory council was also established to provide advice on the app's implementation, effectiveness and wind-down,<sup>100</sup> and Health Canada has committed to a framework to measure and benchmark whether the app meets the necessity and proportionality principle.<sup>101</sup> The effectiveness of these apps in mitigating spread of COVID-19 is still very uncertain and these oversight mechanisms will play an important role moving forward. The terms of reference for the advisory group end in July 2021 with the possibility of renewal.

## Legislative Protections to Ensure App Remains Voluntary



**Australia** amended its Privacy Act in May 2020 to prohibit anyone from being required to download or use its contact tracing app and to strictly limit the information collected for use in contact tracing, including limiting use by police or court proceedings. It has made it an offence punishable by up to five years in prison to require another person to download or use its app, including to enter into a contract, enter a premises, participate in an activity or employment, receive goods or services or provide financial incentives or discounts. The amendments also give the Australian Information Commissioner new powers to investigate and enforce these protections.<sup>102</sup> Some experts have noted that the legislation fails to expressly prohibit employers from loading the app onto work-issued phones that are distributed to employees.<sup>103</sup>

**France** enacted legal obligations in May 2020 through emergency legislation requiring health officials to maintain confidentiality of contact tracing information collected.<sup>104</sup> The country's data protection authority (CNIL) issued an opinion that no negative consequences can be associated with a person's refusal to use its contact tracing app, and expressly refers to employers' requiring employees to use the app as amounting to discrimination.<sup>105</sup>



**Switzerland** amended its public health emergency law in June 2020 requiring that its contact tracing app remain voluntary and those who choose not to use it cannot be disadvantaged by public or private entities. It also created a fine of 5,000 Swiss francs (\$7,257 CDN) for refusing a service to a person who has not downloaded the app.<sup>106</sup>

The final recommendation of our initial report called for a standing group of this nature to help coordinate and review matters at the intersection of technology and public health. We continue to believe that this would be an added governance benefit.

Despite these positive development, issues of equity and inclusion still exist.<sup>107</sup> Canada's app, like all those built on the Apple-Google API, has been criticized for only operating on devices released in the last five years and running operating systems iOS 13.5 or Android version 6 or later. We urge the companies, governments and volunteers involved in creating the app to continue working so that the app can be deployed on older devices and operating systems.

As we have mentioned in our report, the extent to which the app will be truly voluntary is a concern that requires legislative enforcement to prevent public and private entities from making the app mandatory for access to goods, services, employment or housing. This concern was echoed by the Privacy Commissioners, and France and Switzerland have now joined Australia in enacting legislative protections to this end.

Without these legal protections, the app has the potential to have discriminatory effects on minority groups and disadvantaged members of society. Not everyone owns a smartphone, has internet, or has an Apple or Android device purchased in the last five years that is compatible with the app. This is particularly pertinent for those who are most vulnerable to COVID-19, including the elderly population and marginalized communities. Further, even with a current and connected phone, no one should be coerced into using an app that they do not trust. We are already seeing strong encouragement from public health officials to download the app, including urging students and staff to do so prior to attending school.<sup>108</sup> Despite the security and privacy praise the app has received, a Leger survey in August 2020 revealed that 52% of Canadians do not believe the government's assertion that the app does not collect personal information including geolocation, while 39% did not believe the app 'will work'.<sup>109</sup>

When asked about our proposal for legislation, Digital Government Minister Joyce Murray responded in *The Logic* with: "As a federal government, we are clear that this is a voluntary action, to use this app .... It is not for the government to be dictating what other privatesector or public-sector organizations are using as their criteria for providing service." In a subsequent email, Minister Murray added that "individuals should not be required to use the app or to disclose information about their use of the app."<sup>110</sup>

If this is the position of the federal government, it urgently requires clarity in law. This is especially critical given Health Canada has taken the position that the app does not collect personal information, meaning that potential protections in place through federal privacy legislation may not even apply to the COVID Alert app.<sup>111</sup>

We hope to see government action on legislative clarity and enforcement soon and will continue to advocate and monitor for developments.

The Race to Trace I Cybersecure Policy Exchange



Notes: This scorecard is based on a review of publicly available information as of the end of August 2020, with inspiration from the MIT Technology Review's Covid Tracing Tracker. The methodology for receiving a passing assessment for each category is as follows:

- 1. Technology Design: The app uses only Bluetooth technology and does not track location data.
- 2. Data Governance: The app uses a decentralized approach, keeping contact interactions on the device.
- 3. Data Minimization and Retention: The app only collects, stores and uses data that is necessary, including built-in functionality to automatically and permanently delete mobile data after no more than 30 days, limiting its use to only public health, with a clear expiration date ensuring all data is permanently deleted after the pandemic is adequately contained.
- 4. Voluntary: Government has not made use of the app mandatory by all residents/citizens.
- 5. Transparency and Trust: At least three of the following are in place: an independent security or privacy impact assessment; open source code; positive diagnoses are verified by a health authority; and process in place for ongoing oversight/monitoring.



#### Alberta: ABTraceTogether

Developed by Deloitte, Alberta's app is based on Singapore's open source BlueTrace protocol, which has been described by the developers themselves as a 'hybrid' design where the contact logging is decentralized and performed on the device, while the contact tracing is done on a centralized server.<sup>112, 113, 114</sup> For this reason, our team found the app does not meet the criteria of a decentralized model despite many often referring to it as such, including Alberta Health.

A July 2020 report by the Office of the Information and Privacy Commissioner of Alberta (OIPC) determined that Alberta's app posed a security risk on Apple devices since the phones had to remain unlocked to run the app, increasing risk in the case of theft or loss.<sup>115</sup> The OIPC report also recommended that regular updates on the use and effectiveness of the app be made, as well as plans for its eventual dismantling.<sup>116</sup> The lack of plans for dismantling, as well as its requirement from users' to enter their phone numbers to access the app, were among the reasons why our team determined the app did not meet our criteria for data minimization and retention.

In August, the provincial government confirmed that Alberta will switch to the national app, COVID Alert. This came after Alberta accused the federal government of preventing Apple and Google from working with the province to address operability issues allowing the app to run in the background.<sup>117</sup> At the time of writing this addendum, it remains unknown when the switch between apps will take place, and how, if at all, users of the Alberta app (234,000 or about 5% of the province) will be transferred to the national app.<sup>118</sup>

# Iceland: Rakning C-19

Iceland authorities describe the app as following a decentralized model, as location data to trace proximity of devices is stored on individual phones.<sup>119</sup> However, in cases of infection or suspected infection, individuals' travel movements are traced by a centralized server. Users of the Rakning C-19 also require a telephone number to set up the app, which is saved to the app's database and used to contact individuals in case of infection or presumed infection. In addition, those presumed infected are required to enter a national registry identification number that allows their location data to be sent to servers. For these reasons, the app does not meet our criteria of a Bluetooth-based decentralized model or for minimizing data.

Several reports have characterized Iceland's deployment of the app as a success, pointing to the country's high adoption rate of approximately 40%. Travellers to Iceland are also 'asked and encouraged' to download the app. However, according to the chief inspector of Iceland's COVID-19 contact tracing team, the app has not been a 'game changer'.<sup>120</sup>

## United Kingdom: NHS Covid-19 App

Since the release of our report, the UK had abandoned its centralized app. The country now plans on switching to the Apple-Google API, though it remains unknown when the launch of a new app will be, with reports suggesting winter. The app had encountered technical issues including difficulty recognizing Bluetooth signals from Apple and Android devices. Privacy advocates had also argued against the app's use of a centralized database.<sup>121</sup> Despite the criticisms, the app met our criteria for transparency and trust given it had been tested by security researchers which found major security flaws,<sup>122</sup> had a public source code available,<sup>123</sup> and a legislative oversight body had been proposed.<sup>124</sup>



## Norway: Smittestopp

In June 2020, Norway suspended the use of its contact tracing app after the country's data protection authority reported it posed a disproportionate threat to user privacy, for reasons including that it continuously uploaded people's location. The app was also criticized for not requesting consent from users to use their data for research purposes.<sup>125</sup> Amnesty International put the app on a list of the 'most alarming mass surveillance tools' used to track the virus, shared alongside Bahrain and Kuwait.<sup>126</sup> In our initial evaluation, the app had fulfilled our criteria of transparency and trust having performed a data protection assessment,127 positive diagnoses were medically verified and an external oversight panel was established.<sup>128</sup>

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#### India: Aarogya Setu

India's app has been downloaded by 150 million, while the population of smartphone users is reportedly 500 million. It has also made the app mandatory for some members of the population.<sup>129</sup> There have been no other developments since our report, though the app has been criticized for having a number of security and privacy vulnerabilities.<sup>130</sup> The app uses both Bluetooth and location data stored on central government servers, which did not meet our criteria for these categories.<sup>131</sup> Although the government made the source code available in July 2020,<sup>132</sup> the app does not meet the minimum scoring requirements for any of our criteria.

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## **China: Health Code**

China's app, developed by Ant Financial and dubbed 'Alipay Health Code', collects a variety of data from various sources (or 'digital trail' of citizens). This includes location data and selfreported medical history used to assign each user a risk score, which dictates their right to travel. Using either the Chinese mega-apps WeChat<sup>133</sup> or Ant Financial's payment app, Alipay, users sign up using their real name, ID and complete a survey to get assigned a colored code – either green, yellow or red – which indicates their health risk from low to high.<sup>134</sup> If permitted to travel, users are also required to scan QR codes. The app has not met any of our minimum requirements.

#### France: StopCovid

The French app is among the few in Europe to continue with a centralized model, choosing not to go with the Apple-Google API. It has an open source code, received a privacy impact assessment and positive diagnoses are verified by a health authority.<sup>135</sup> Released in June 2020, the app has seen a download rate of 1.8 million out of 65 million, but has been criticized for only sending 14 notifications to users to date. French officials explained this low number by pointing out that relatively few people mark themselves as COVID-19 positive in the app. France is also planning to release a new protocol called DESIRE, to allow for interoperability between StopCovid and other European apps, including Spain and Germany.<sup>136</sup> The French app is also reportedly being rewritten to improve privacy controls.<sup>137</sup>

## Italy: Immuni

At the time of writing of our initial report, Italy had initially chosen a centralized model for adoption. Since then, Italy has abandoned those plans and has opted for the Apple-Google API, choosing a decentralized approach instead. Its source code has also since been made available to the public.<sup>138</sup> It collects minimum data needed to perform what is necessary. The app has received positive reviews for being privacy-preserving and secure, with the government making a commitment to delete all data by the end of 2020. The Italian data protection agency also approved of the app, though did provide recommendations for improvement, including providing more clarity on the generation of notifications and ability to more easily deactivate the app to return to the main screen. Like the issues raised in Canada with the Apple-Google API, the app does not perform on older phone models.<sup>139</sup> For these changes, we assessed Italy as meeting all five of our criteria, making it the only jurisdiction outside of Canada to receive such a score.

### Austria: Stopp Corona

Operated by the Red Cross and developed by Accenture, Austria has announced its intention to build on the Apple-Google API since iPhone users had to keep their phones unlocked for its app to work effectively.<sup>140</sup> Users that test positive are authenticated using their phone numbers,<sup>141</sup> while no clear expiration date for the app exists. For these reasons, our team did not indicate it met the data minimization requirements. The app is a Bluetooth-based decentralized model and has met our criteria in other categories, including transparency and trust.

## Singapore: TraceTogether

Singapore's app now has an adoption rate of 2.1 million, representing about 35% of the population. It is voluntary, except for migrant workers living in dorms who have had a high rate of COVID-19 infections. Government officials also recommend using TraceTogether along with the SafeEntry app to check-in and out of places for contact tracing while they travel. Due to recent changes that made the app mandatory for certain migrant workers, we have removed that the app meets the voluntary requirement. Like Alberta and Austria, iPhone users need to have the phone unlocked for the app to operate.<sup>142</sup>

The government is providing wearable devices or 'dongles' to accommodate thousands of vulnerable seniors without smartphones. Users are required to provide their national ID and phone numbers, as the TraceTogether users are now required to do after an update. The wearables do not track location data or connect to any mobile networks.<sup>143</sup>



## Australia: CovidSafe

Similar to the other apps not using the Apple-Google API, Australia's app has problems recognizing iPhones when the phones are locked. Although there were earlier reports that Australia was considering switching to the Apple-Google API to help address these issues, the Deputy Chief Medical Officer rejected the idea due to concern it would remove the role of contact tracers. It has also been reported that the app has not found any close contacts of an infected person who has not been identified by manual tracing.<sup>144</sup> This is despite the high adoption rate of 4.2 million out of 25 million.<sup>145</sup>

## **About the Authors**



**Mohammed (Joe) Masoodi** is a Policy Analyst at the Cybersecure Policy Exchange and the Ryerson Leadership Lab. Joe has been conducting research and policy analysis on the intersections of surveillance, digital technologies, security and human rights for over six years. He has conducted research at the Surveillance Studies Centre at Queen's University and the Canadian Forces College. He holds an MA in war studies from the Royal Military College of Canada, an MA in sociology from Queen's University, and has studied sociology as a PhD candidate from Queen's University, specializing in digital media, information and surveillance.



**Sam Andrey** is the Director of Policy & Research at the Ryerson Leadership Lab. He also teaches about public leadership and advocacy at Ryerson University and George Brown College. He has led the design, execution and knowledge mobilization of multiple applied research projects, including surveys, focus groups, interviews, randomized controlled trials and cross-sectional observational studies. He previously served as Chief of Staff and Director of Policy to Ontario's Minister of Education, in the Ontario Public Service and in not-for-profit organizations advancing equity in education.



**Karim Bardeesy** is the Co-Founder and Executive Director of the Ryerson Leadership Lab. Karim is a public service leader who has worked in progressively senior roles in public policy, politics, journalism and academia in Toronto and the United States since 2001. Karim was previously Deputy Principal Secretary for the Premier of Ontario, the Honourable Kathleen Wynne, and served as Executive Director of Policy for Premiers Wynne and Dalton McGuinty. He has worked as a journalist, an editorial writer at *The Globe and Mail*, and as an editorial assistant at *Slate* magazine. Karim holds a Master in Public Policy from Harvard's John F. Kennedy School of Government.



**Zaynab Choudhry** is the Design Lead at the Ryerson Leadership Lab. She has been working as a designer, illustrator and marketer in the realm of public service by helping organizations rebrand, simplify communication and solve problems for over four years. Zaynab believes good design stimulates and motivates much of our everyday decisions; and through her design journey has developed skills in visual communication to accomplish just that. Zaynab is a recent graduate with a BTech in Graphic Communications Management, with double minors in marketing and sociology from Ryerson University.

# Methodology

This report was informed by: a literature review; interviews with Canadian cybersecurity, privacy and technology experts; and two video town halls on April 14 and May 19, 2020 with 646 participants, featuring:

- Murad Hemmadi, Reporter for The Logic
- Dr. Richard Lachman, Associate Professor, RTA School of Media, Ryerson University
- Gregory Smolynec, Deputy Commissioner of Policy and Promotion, Office of the Privacy Commissioner of Canada
- Bianca Wylie, Co-Founder of Digital Public and Senior Fellow, Centre for International Governance Innovation

An anonymous survey was conducted by Pollara Strategic Insights online with 2,000 Canadian residents over the age of 18 from May 14 to 22, 2020. A random sample of Canadian residents who have opted-in to the AskingCanadians panel were invited to complete the voluntary survey. As a guideline, a probability sample of this size would yield results accurate to +/- 2 percentage points, 19 times out of 20 (95%). Totals may not sum or add to 100 due to rounding.

The data were weighted by region, gender and age, based on the most recent Canadian census figures to ensure that the sample matched Canada's population.

### Table 1: Support for Organizations Making Contact Tracing Apps Mandatory

"A smartphone app has been proposed that would anonymously notify you if you have been physically close to someone who has been diagnosed with COVID-19. To what extent would you support the following organizations making it mandatory to download this smartphone app":

		Region							Age						Gender			
	Total	BC	AB	MB/	ON	QC	ATL	18-29	30-39	40-49	50-59	60+	Female	Male	Other/			
				SN											Dia nor say			
All Respondents	2,000	269	264	201	669	397	200	405	344	321	369	561	1,014	978	8			
Weighted	2,000	272	227	130	766	468	138	380	351	334	371	564	1,025	968	8**			
Respondents																		
	1,021	148	111	60	426	211	65	193	177	142	189	321	537	479	5			
Employers to come to	51%	54%	<mark>49%</mark>	46%	56%	45%	<mark>47%</mark>	51%	50%	42%	51%	57%	52%	49%	62%			
work																		
Governments to	1,110	151	121	70	455	245	68	202	191	152	205	359	571	532	6			
access public services,	55%	56%	53%	54%	59%	52%	<mark>50%</mark>	53%	54%	46%	55%	64%	56%	55%	75%			
like public transit																		
	910	129	100	60	380	180	61	168	151	133	167	292	474	431	5			
Retail or grocery	46%	47%	44%	46%	50%	39%	<mark>45%</mark>	44%	43%	40%	45%	52%	46%	45%	62%			
stories to enter																		
Landlords or	609	83	52	40	259	135	40	111	109	85	116	188	318	288	3			
condominiums to stay	30%	31%	23%	31%	34%	29%	29%	29%	31%	25%	31%	33%	31%	30%	37%			
in your home																		
Average	46%	47%	42%	44%	50%	41%	43%	44%	45%	38%	46%	52%	46%	45%	59%			

Summary of "Strongly support" and "Somewhat support"

#### Table 1: Support for Organizations Making Contact Tracing Apps Mandatory cont.

			Educ	ation			Income							
	Total	High School or Less	College	Technical /Trade	Undergra duate	Graduate /Professi onal Degree	Under \$20,000	\$20,000 - Less than \$30,000	\$30,000 - Less than \$50,000	\$50,000 - Less than \$80,000	\$80,000 - Less than \$100,000	\$100,000 - Less than \$150,000	\$150,000 or More	
All Respondents	2,000	329	357	215	606	470	82	118	264	363	279	409	279	
Weighted Respondents	2,000	314	364	197	623	479	79*	116	263	357	282	410	287	
Employers to come to work	1,021	168	180	109	309	249	34	48	136	190	152	223	157	
	51%	53%	50%	56%	50%	52%	43%	42%	52%	53%	54%	54%	55%	
Governments to access	1,110	169	206	108	343	276	35	55	144	211	168	237	171	
public services, like public	55%	54%	57%	55%	55%	58%	45%	47%	55%	59%	60%	58%	60%	
transit														
Retail or grocery stories to	910	151	171	96	276	209	34	46	122	180	130	193	134	
enter	46%	48%	47%	49%	44%	44%	44%	39%	46%	50%	46%	47%	46%	
Landlords or	609	93	113	69	178	151	18	28	88	111	86	136	95	
condominiums to stay in	30%	29%	31%	35%	29%	31%	23%	25%	34%	31%	30%	33%	33%	
your home														
Average	46%	46%	46%	49%	45%	46%	39%	38%	47%	48%	48%	48%	49%	

#### Table 2: Smartphone Ownership

"How many of the following devices do you currently have in your household?" Smartphone = 0

				Age			Income							
	Total	18-29	30-39	40-49	50-59	60+	Under	\$20,000	\$30,000	\$50,000	\$80,000	\$100,00	\$150,00	
							\$20,000	- Less	- Less	- Less	- Less	0 - Less	0 or	
								than	than	than	than	than	More	
								\$30,000	\$50,000	\$80,000	\$100,00	\$150,00		
											0	0		
All Respondents	2,000	405	344	321	369	561	82	118	264	363	279	409	279	
Weighted	2,000	380	351	334	371	564	79*	116	263	357	282	410	287	
Respondents														
0	179	3	10	17	37	111	21	15	49	30	21	10	9	
	9%	1%	3%	5%	10%	20%	26%	13%	19%	8%	7%	2%	3%	

\*small base

\*\*very small base; ineligible for significance testing

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